Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Filing at a Glance

Company: Navigators Insurance Company

Product Name: TRIA Disclosure Notices SERFF Tr Num: NAVG-125256459 State: Arkansas

TOI: 17.1 Other Liability - Claims Made Only SERFF Status: Closed State Tr Num: AR-PC-07-025945

Sub-TOI: 17.1006 Directors & Officers Liability Co Tr Num: TRIA-F-807-AR State Status:

Filing Type: Form Co Status: Reviewer(s): Betty Montesi, Edith

Roberts, Brittany Yielding

Author: Orlando Moreno Disposition Date: 08/31/2007

Date Submitted: 08/29/2007 Disposition Status: Approved

Effective Date Requested (New): On Approval

Effective Date (New):

Effective Date Requested (Renewal): On Approval Effective Date (Renewal):

General Information

Project Name: TRIA Disclosure Notices Status of Filing in Domicile: Not Filed

Project Number: TRIA-F-807-AR Domicile Status Comments:

Reference Organization: Reference Number:

Reference Title: Advisory Org. Circular:

Filing Status Changed: 08/31/2007

State Status Changed: 08/30/2007 Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

This is an informational filing consisting of TRIA disclosure notices NAV-DO-TERRA (10/03) and NAV-DO-TERRD

(10/03). Please see cover letter for details.

Company and Contact

Filing Contact Information

Orlando Moreno, Compliance Analyst omoreno@navg.com 1375 E. WOODFIELD RD. (847) 285-9006 [Phone] SCHAUMBURG, IL 60173 (847) 230-1934[FAX]

Filing Company Information

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Navigators Insurance Company CoCode: 42307 State of Domicile: New York

Company Type: P&C

1375 E. Woodfield Rd. Group Code: 510

Schaumburg, IL 60173 Group Name: Navigators Group, State ID Number:

Inc

(847) 285-9006 ext. [Phone] FEIN Number: 13-3138390

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Filing Fees

Fee Required? No Retaliatory? No

Fee Explanation:

Per Company: No

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Correspondence Summary

Dispositions

Status	Created By	Created On	Date Submitted
Approved	Edith Roberts	08/31/2007	08/31/2007

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Disposition

Disposition Date: 08/31/2007

Effective Date (New):

Effective Date (Renewal):

Status: Approved

Comment:

Rate data does NOT apply to filing.

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Item Type	Item Name	Item Status	Public Access
Supporting Document	Uniform Transmittal Document-Property	&Approved	Yes
	Casualty		
Supporting Document	Cover Letter	Approved	Yes
Form	Notice of Terrorism Insurance Coverage	Approved	Yes
Form	Notice of Terrorism Insurance Coverage	Approved	Yes

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Form Schedule

Review	Form Name	Form #	Edition	Form Type Action	Action Specific	Readability	Attachment
Status			Date		Data		
Approved	Notice of	NAV-DO-	10/03	Disclosure/ New		0.00	TRIA
	Terrorism	TERRA		Notice			Disclosure
	Insurance	(10/03)					Notice -
	Coverage						NAV-DO-
							TERRA.pdf
Approved	Notice of	NAV-DO-	10/03	Disclosure/ New		0.00	TRIA
	Terrorism	TERRD		Notice			Disclosure
	Insurance	(10/03)					Notice -
	Coverage						NAV-DO-
							TERRD.pdf

POLICYHOLDER DISCLOSURE NOTICE OF TERRORISM INSURANCE COVERAGE

You are hereby notified that under the Terrorism Risk Insurance Act of 2002, effective November 26, 2002, that you now have a right to purchase insurance coverage for losses arising out of acts of terrorism, as defined in Section 102(1) of the Act: The term "act of terrorism" means any act that is certified by the Secretary of the Treasury, in concurrence with the Secretary of State, and the Attorney General of the United States—to be an act of terrorism; to be a violent act or an act that is dangerous to human life, property; or infrastructure; to have resulted in damage within the United States, or outside the United States in the case of an air carrier or vessel or the premises of a United States mission; and to have been committed by an individual or individuals acting on behalf of any foreign person or foreign interest, as part of an effort to coerce the civilian population of the United States or to influence the policy or affect the conduct of the United States Government by coercion.

YOU SHOULD KNOW THAT COVERAGE PROVIDED BY THIS POLICY FOR LOSSES CAUSED BY CERTIFIED ACTS OF TERRORISM IS PARTIALLY REIMBURSED BY THE UNITED STATES UNDER A FORMULA ESTABLISHED BY FEDERAL LAW. UNDER THIS FORMULA, THE UNITED STATES GENERALLY PAYS 85% OF COVERED TERRORISM LOSSES EXCEEDING THE STATUTORILY ESTABLISHED DEDUCTIBLE PAID BY THE INSURANCE COMPANY PROVIDING THE COVERAGE. THE PORTION OF YOUR POLICY'S PREMIUM CHARGED FOR THIS COVERAGE IS: \$_______ WHICH DOES NOT INCLUDE ANY CHARGES FOR THE PORTION OF LOSS COVERED BY THE FEDERAL GOVERNMENT UNDER THE ACT.

If you have any questions about this notice, please contact your agent or Broker

POLICYHOLDER DISCLOSURE NOTICE OF TERRORISM INSURANCE COVERAGE

You are hereby notified that under the Terrorism Risk Insurance Act of 2002, effective November 26, 2002, that you now have a right to purchase insurance coverage for losses arising out of acts of terrorism, as defined in Section 102(1) of the Act: The term "act of terrorism" means any act that is certified by the Secretary of the Treasury, in concurrence with the Secretary of State, and the Attorney General of the United States—to be an act of terrorism; to be a violent act or an act that is dangerous to human life, property, or infrastructure; to have resulted in damage within the United States, or outside the United States in the case of an air carrier or vessel or the premises of a United States mission; and to have been committed by an individual or individuals acting on behalf of any foreign person or foreign interest, as part of an effort to coerce the civilian population of the United States or to influence the policy or affect the conduct of the United States Government by coercion.

YOU SHOULD KNOW THAT COVERAGE PROVIDED BY THIS POLICY FOR LOSSES CAUSED BY CERTIFIED ACTS OF TERRORISM IS PARTIALLY REIMBURSED BY THE UNITED STATES UNDER A FORMULA ESTABLISHED BY FEDERAL LAW. UNDER THIS FORMULA, THE UNITED STATES GENERALLY PAYS 85% OF COVERED TERRORISM LOSSES EXCEEDING THE STATUTORILY ESTABLISHED DEDUCTIBLE PAID BY THE INSURANCE COMPANY PROVIDING THE COVERAGE. THE PREMIUM CHARGED FOR THIS COVERAGE IS PROVIDED BELOW AND DOES NOT INCLUDE ANY CHARGES FOR THE PORTION OF LOSS COVERED BY THE FEDERAL GOVERNMENT UNDER THE ACT.

Please select below:

rage for a prospective premium of				
I hereby elect to have the exclusion for terrorism coverage. I understand that I will hat coverage for losses arising from acts of terrorism.				
Insurance Company				
Policy Number				
1	rrorism coverage. I understand that I will herrorism.			

NAV-DO-TERRD (10/03)

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Rate Information

Rate data does NOT apply to filing.

Filing Company: Navigators Insurance Company State Tracking Number: AR-PC-07-025945

Company Tracking Number: TRIA-F-807-AR

TOI: 17.1 Other Liability - Claims Made Only Sub-TOI: 17.1006 Directors & Officers Liability

Product Name: TRIA Disclosure Notices

Project Name/Number: TRIA Disclosure Notices/TRIA-F-807-AR

Supporting Document Schedules

Review Status:

Satisfied -Name: Uniform Transmittal Document- Approved 08/31/2007

Property & Casualty

Comments:

Attachment:

AR NAIC Transmittal for TRIA Disclosure Notices.pdf

Review Status:

Satisfied -Name: Cover Letter Approved 08/31/2007

Comments: Attachment:

TRIA Disclosure - AR Cover Letter.pdf

Property & Casualty Transmittal Document

1.	Reserved for Insurance	2. In:	surance De	partment l	Jse only	
	Dept. Use Only	a. Dat	te the filing i	s received:		
		b. Ana	alyst:			
		c. Dis	position:			
		d. Dat	te of disposi	tion of the f	filing:	
		I -	ective date			
			New Bus	siness		
		(3		Business		
			te Filing #:			
		g. SE	RFF Filing #	# :		
		h. Sul	oject Codes			
3.	Group Name	•		*		Group NAIC #
J.	Oroup Hame					Group NAIC #
4.	Company Name(s)		Domicile	NAIC #	FEIN#	State #
→.	1 7(-)					
-						
-						
-						
5.	Company Tracking Number					
Con	tact Info of Filer(s) or Corporate			I-free numbe	•	
		Officer(s)		l-free numbe	er] FAX #	e-mail
Con	tact Info of Filer(s) or Corporate				•	e-mail
Con	tact Info of Filer(s) or Corporate				•	e-mail
Con	tact Info of Filer(s) or Corporate				•	e-mail
Con	tact Info of Filer(s) or Corporate				•	e-mail
Con 6.	tact Info of Filer(s) or Corporate Name and address	Title			•	e-mail
7. 8.	tact Info of Filer(s) or Corporate Name and address Signature of authorized filer	Title ed filer	Teler	ohone #s	FAX#	e-mail
7. 8. Filin	Signature of authorized filer Please print name of authorized in information (see General I	Title ed filer nstruction	Teler	ohone #s	FAX#	e-mail
7. 8. Filin 9.	Signature of authorized filer Please print name of authorized filer Type of Insurance (TOI) Sub-Type of Insurance (Sub	Title ed filer nstruction	Teler	ohone #s	FAX#	e-mail
7. 8. Filin	Signature of authorized filer Please print name of authorized Interpretation (see General Interpretation) Sub-Type of Insurance (Sub-State Specific Product code	Title ed filer nstruction o-TOI) (s)(if	s for descrip	ohone #s	FAX#	e-mail
7. 8. Filin 9.	Signature of authorized filer Please print name of authorized filer Type of Insurance (TOI) Sub-Type of Insurance (Sub	ed filer nstruction o-TOI) (s)(if quirements)	s for descrip	ohone #s	FAX#	e-mail
7. 8. Fillin 9.	Signature of authorized filer Please print name of authorized Interpretation (see General Interpretation) Sub-Type of Insurance (Substate Specific Product code applicable)[See State Specific Recognition of the content of the conten	ed filer nstruction o-TOI) (s)(if quirements)	s for descrip	otions of the	ese fields)	cates/Rules
7. 8. Filin 9. 10. 11.	Signature of authorized filer Please print name of authorized In the second of the sec	ed filer nstruction o-TOI) (s)(if quirements)	s for descrip	otions of the	FAX # ese fields) [] Rules [] Roination Rates/Ri	tates/Rules ules/Forms
7. 8. Filin 9. 10. 11.	Signature of authorized filer Please print name of authorized In the second of the sec	ed filer nstruction o-TOI) (s)(if quirements)	s for descrip	otions of the	ese fields)	tates/Rules ules/Forms
7. 8. Filin 9. 10. 11.	Signature of authorized filer Please print name of authorized In the second of the sec	ed filer nstruction o-TOI) (s)(if juirements] keting title)	s for descrip	otions of the	FAX # ese fields) [] Rules [] Roination Rates/Ri	Rates/Rules ules/Forms ription)
7. 8. Filii 9. 10. 11.	Signature of authorized filer Please print name of authorized In the second of the sec	ed filer nstruction o-TOI) (s)(if juirements] keting title)	s for descrip	otions of the	ese fields) [] Rules [] Roination Rates/Rother (give description)	Rates/Rules ules/Forms ription)
7. 8. Filii 9. 10. 11. 12. 13.	Signature of authorized filer Please print name of authorized In the second of the sec	ed filer nstruction o-TOI) (s)(if juirements] keting title)	s for descrip	otions of the	ese fields) [] Rules [] Roination Rates/Rother (give description)	Rates/Rules ules/Forms ription)
7. 8. Filii 9. 10. 11. 12. 13.	Signature of authorized filer Please print name of authorized general I Type of Insurance (TOI) Sub-Type of Insurance (Substate Specific Product code applicable)[See State Specific Regional Type Company Program Title (Mar Filing Type Effective Date(s) Requested Reference Filing? Reference Organization (if a Reference Organization # &	ed filer nstruction o-TOI) (s)(if juirements] keting title)	s for descrip	otions of the	ese fields) [] Rules [] Roination Rates/Rother (give description)	Rates/Rules ules/Forms ription)
7. 8. Filii 9. 10. 11. 12. 13.	Signature of authorized filer Please print name of authorized In the second of the sec	ed filer nstruction o-TOI) (s)(if juirements] keting title)	s for descrip	ohone #s otions of the oss Cost s [] Comb	FAX # ese fields) [] Rules [] Reination Rates/Rether (give description of the content of the	Rates/Rules ules/Forms ription)

Property & Casualty Transmittal Document—

20.	This filing transmittal is part of Company Tracking #
21.	Filing Description [This area can be used in lieu of a cover letter or filing memorandum and is free-form text]
	Filing Fees (Filer must provide check # and fee amount if applicable)
22.	[If a state requires you to show how you calculated your filing fees, place that calculation below]
CI	heck #:
Αı	mount:
	r to each state's checklist for additional state specific requirements or instructions on ulating fees.
	Refer to the each state's checklist for additional state specific requirements (i.e. # of additional copies uired, other state specific forms, etc.)
_	TD-1 pg 2 of 2

FORM FILING SCHEDULE

(This form must be provided ONLY when making a filing that includes forms) (Do <u>not</u> refer to the body of the filing for the forms listing, unless allowed by state.)

1.	This filing transmittal is part of Company Tracking #	
2.	This filing corresponds to rate/rule filing number (Company tracking number of rate/rule filing, if applicable)	

3.	Form Name /Description/Synopsis	Form # Include edition date	Replacement Or withdrawn?	If replacement, give form # it replaces	Previous state filing number, if required by state
01			[] New [] Replacement [] Withdrawn		
02			[] New [] Replacement [] Withdrawn		
03			[] New [] Replacement [] Withdrawn		
04			[] New [] Replacement [] Withdrawn		
05			[] New [] Replacement [] Withdrawn		
06			[] New [] Replacement [] Withdrawn		
07			[] New [] Replacement [] Withdrawn		
08			[] New [] Replacement [] Withdrawn		
09			[] New [] Replacement [] Withdrawn		
10			[]New []Replacement []Withdrawn		

PC FFS-1



"Insuring A World In Motion"

August 29, 007

RE: NAVIGATORS INSURANCE COMPANY

NAIC#: 510-42307 / FEIN#: 13-3138390 DIRECTORS & OFFICERS LIABILITY

NAIC CODING MATRIX FILING CODE: 17.0006

TRIA DISCLOSURE NOTICES

FORMS: NAV-DO-TERRA (10/03) AND NAV-DO-TERRD (10/03)

OUR FILE NUMBER: TRIA-F-807-AR

Dear Reviewer:

This is an informational filing consisting of our company's Notices of Terrorism Insurance Coverage NAV-DO-TERRA (10/03) and NAV-DO-TERRD (10/03). These notices disclose to the policyholder the terrorism coverage premium and the existence of the federal financial backstop as required by the Terrorism Risk Insurance Act of 2002, §103 and §105 and allow the applicant or policyholder to either accept or reject terrorism coverage. Upon your acknowledgement of these forms, we plan on utilizing them with our Directors and Officers professional liability program as well as any other program we deem appropriate.

NOTICE OF TERRORISM INSURANCE COVERAGE NAV-DO-TERRA (10/03)

This notice will be provided to the applicant or policyholder when terrorism insurance coverage is accepted as part of the policy.

NOTICE OF TERRORISM INSURANCE COVERAGE NAV-DO-TERRD (10/03)

This notice will be provided to the applicant or policyholder when he/she will not be adding terrorism insurance coverage to the policy. The applicant or policyholder's signature is required on this form.

Your acknowledgement of this submission is hereby requested and very much appreciated. Please make the effective date of this filing the date of your acknowledgement. Should you have any questions, please feel free to contact me at your earliest convenience.

Sincerely,

Orlando Moreno Compliance Analyst

Orlando Moreno